#### **Appendix 1: Supplementary material**

### Supplementary Table S1 – Risk factors for severe COVID-19 as defined in the ALBERTA HOPE COVID-19 trial. Participants in the trial had to have >1 of these risk factors.

#### **Medications and Biologic Therapies**

Prednisone  $\geq$ 7.5 mg daily x 3 weeks (or equivalent)

Methotrexate (Greater than or equal to 7.5 -15 mg weekly suggested)

Azathioprine

Cyclophosphamide within the previous 6 months

Mitoxantrone

Cell depleting therapy within the previous 24 months: cladribine

Anti-TNF: infliximab, adalimumab, golimumab, etanercept, certolizumab

Anti-IL17: secukinumab, ixekizumab, brodalumab

mTOR inhibitors: sirolimus, everolimus Mycophenolate mofetil: mycophenolic acid

Anti-IL12/23: Ustekinumab, risankizumab, guselkumab

Anti-CD28: abatacept

JAK2 inhibitors: tofacitinib, baricitinib, upadacitinib

Anti-CD20: rituximab, ocrelizumab within the previous 12 months

S1P inhibitors: fingolimod Anti-alpha4beta7: vedolozimab

Anti-IL4: dupilumab Anti-IgE FcR: omalizumab

#### **Medical Conditions and Other Risk Factors**

Age 40 or over

BMI >40 (calculated by self-report height and weight)

Hypertension (on medical treatment)

Current cigarette smoker

Bone Marrow Transplant within previous 12 months

Solid Organ Transplant

AIDS/HIV CD4 <200 within last 6 months or CD4>200 but not on treatment

Moderate Lymphopenia (within previous 6 months: Adults <500) Chronic Kidney Disease (eGFR < 60 including people on dialysis)

Diabetes (on a hypoglycemic or insulin)

Coronary Artery Disease (non-revascularized and as per physician diagnosis in medical chart)

Heart Failure/Reduced LVEF (as per physician diagnosis in medical chart)

Chronic Lung Disease (COPD, Asthma, interstitial lung disease, as per physician diagnosis)

Any Current Cancer diagnosis (as per physician diagnosis)

Acquired or Congenital Immune Deficiency (as per physician diagnosis in medical chart)

Cirrhosis (normal INR and bilirubin and no history of ascites, encephalopathy, or variceal

bleeding as per history and medical chart)

Homelessness

# Supplementary Table S2. Neurological Manifestations Checklist completed by the study assessors as part of a detailed interview with the patient.

Please ask the patient (or the carer/informant):  While you (the patient) were experiencing symptoms of COVID-19 or since then, have you experienced any of the following symptoms, not present in the months before COVID-19?	At any time since onset of Illness (Y/N)	Estimated day of onset in relation to first COVID symptom  ("day 1")*	Still Present?  1. Yes, this problem remains the same  2. Yes, but there's been some improvement  3. No, this is back to normal
Confusion or memory problems			
Difficulty with language (exceptional difficulty finding the right words, understanding other people, reading/writing)			
Hallucinations (seeing, hearing, or otherwise experiencing things others cannot): <i>may not have insight</i>			
Agitation or aggression			
Depression			
Anxiety			
Difficulty falling or staying asleep			
Sleeping excessively			
Difficulty speaking – articulating words (outside of being intubated or shortly after extubation)			
Difficulty swallowing (outside of being intubated or shortly after extubation)			
Loss of consciousness (outside of being intubated for difficulty breathing)			
If yes, specify if transient (few minutes maximum) or prolonged			
If yes, specify if occurred once or recurrent			
Seizures or fits			
If yes, specify if received seizure medication			
New diagnosis of Stroke			
Weakness of your face, arms, or legs			

If yes, specify affected body part(s)	
Difficulty with coordination or feeling clumsy	
Slowness of movements (e.g. walking, getting out of bed)	
Abnormal movements (tremors, jerks)	
Loss of smell	
Loss of taste	
Vision loss – being unable to see part of the world	
If yes, specify if it is unilateral/hemifield	
Double vision	
Headaches	
Dizziness	
Numbness (loss of sensation)	
If yes, specify affected body part(s)	
Burning or pins-and-needles sensations	
If yes, specify affected body part(s)	
Muscle aches/pains	
Other comments/details:	

<sup>\*</sup> If this was their first symptom, then list day of onset as day 1.

### Supplementary Table S3. List of province-wide health records used in the study

Name	Description	
Alberta Netcare, Connect Care	Encompasses all hospitalizations, diagnostic test results and outpatient pharmacy prescriptions, captured through provincial heathcare number (PHN) linkage. The NeuroCOVID study had secondar use access to the data extracted from Netcare as part of the main HOPE trial. Beyond the trial period, further health records followup for 1-year was accomplished through Connect Care, which the province transitioned to as a one-stop health record, and contains the same information, as well as emergency/urgent-care and clinic consultation notes and hospital discharge summaries.	
Discharge Abstract Database	Used to capture hospitalizations for participants following their positive SARS-CoV-2 test, using PHN linkage.	
National Ambulatory Care Reporting System (Alberta)	Captures ambulatory care visits for participants through PHN linkage.	

**Supplementary Table S4.** Operationalized definitions for the presence, persistence, and absence of improvement in neurological/neuropsychiatric symptoms in the Alberta Neuro-COVID study.

Symptom-related outcome	Operationalized definition (with example)
Presence of symptom(s)	The patient reported ≥1 symptom that emerged with or
	after their COVID-19 infection at some point prior to
	the time of assessment.
	E.g. if at their 3-month visit, the patient reported new
	issues with confusion that emerged two days after
	onset of their COVID-19 illness, then they would be
	considered to have had "presence" of symptoms at
	some point of their illness course.
Persistence of symptom(s)	The patient reported $\geq 1$ symptom that emerged post-
	COVID-19 and was still present at the time of
	assessment.
	E.g. if the patient reported new issues with confusion
	that was still present at the time of their 3-month visit,
	they would be considered to have "persistent
	symptoms" at that visit.
No improvement of symptom(s)	When a patient reported a symptom, they were asked
	whether they were still experiencing that symptom, and
	to choose between these three options when comparing
	the symptom to their pre-COVID-19 state: (1) "Yes,
	this problem remains the same"; (2) "Yes, but there's
	been SOME improvement"; or (3) "No, this is back to
	normal". The patient was classified as having "no
	improvement" at 1-year if they reported ≥1 symptom at
	both visits, for which they indicated that the problem
	remained the same at 1-year.
	E.g. if the patient reported new confusion at 3-months,
	which was still present at 1-year, with them indicating
	"Yes, this problem remains the same" at the 1-year
	visit, they were classified as having no improvement at
	1-year for our analysis.

**Supplementary Table S5.** Comments on neurological symptoms or presentations of interest as abstracted from study visit notes. The frequencies of all symptoms are reported in Figure 2.

Symptom or Presentation	Comment
Stroke	None of the patients were diagnosed with stroke
Encephalitis	None were diagnosed with encephalitis or meningitis
Seizure or epilepsy	Only one patient reported a seizure-like episode, but this patient had a long-standing history of schizophrenia and their spell was not deemed to require treatment with anti-epileptic drugs on review by their primary physician.
Loss of Consciousness	Any episodes of loss of consciousness reported were transient (few minutes maximum); however, they recurred at least once.
Vision Loss	Vision loss complaints were described as monocular or bilateral partial blurring rather than any frank loss of vision.
Weakness and sensory loss	Complaints of weakness and sensory symptoms were generally diffuse or bilateral. For example, among five patients reporting leg weakness, all reported bilateral leg weakness, and of nine patients reporting arm/hand weakness, five reported bilateral weakness. Of five patients reporting hand numbness or paresthesia, four reported bilateral symptoms.

**Supplementary Table S6.** Summary of neurologically relevant investigations received by the patients as part of their regular care during the follow-up period.

Neurological investigation	Comment
Neuroimaging – CT	<ul> <li>10 patients (5.1%) underwent CT head imaging (2 CT with CT angiography, 8 non-contrast CT): 7 for headaches (one with neck pain), one for delirium, one for psychosis, and one for a frontal sinus mass</li> <li>Two of these were performed within a week of illness, and the rest between 3-month and 1-year follow-ups and were associated with emergency department visits.</li> </ul>
Neuroimaging – MRI	<ul> <li>Three of the patients above subsequently had MRI brain, whereas three had repeat non-contrast CT(all for headaches).</li> <li>Six other patients also had MRI brain scans – four to follow benign tumours, one for small vessel disease.</li> </ul>
Lumbar Puncture	None of the patients underwent lumbar puncture over 1-year follow-up
Electrophysiological tests	None of the patients underwent electroencephalography, nerve conduction study, or electromyography over 1-year follow-up

**Supplementary Table S7.** Comparison of neurological/neuropsychiatric symptoms reported by patients in the cohort, stratified by whether or not they received hydroxychloroquine.

Neurological and/or neuropsychiatric symptoms	Hydroxychloroquine (n=90), N (%)	No hydroxychloroquine (n=89), N (%)	P-value	aOR (95%CI)
Any symptoms	67 (74.4)	72 (80.9)	0.37	0.81 (0.35- 1.83)
Persistence of ≥1 symptom at 1-year	16 (17.8)	24 (27.0)	0.16	0.86 (0.26- 2.84)
No improvement in ≥1 symptom at 1-year	10 (11.1)	17 (19.1)	0.15	0.63 (0.18- 2.28)

P-values shown are from Fisher's exact test for comparison of proportions. Odds ratios presented are from logistic regressions adjusted for age, sex, race, body mass index (BMI), asthma, and prior history of any neurological/psychiatric conditions.

**Supplementary Table S8.** Comparison of key variables between patients with available cognitive or patient/informant-reported outcome data versus those with missing data in the Alberta Neuro-COVID cohort.

Characteristic	Patients with data	Patients without data	P-value
	(n=126)	(n=72)	
Age	47 (40-54)	45 (35-55)	0.29
Sex – female	61 (48.4)	26 (36.1)	0.10
Treatment assignment	56 (44.4)	34 (47.2)	0.77
Prior neurological/psychiatric	21 (16.7)	7 (9.7)	0.14
history			
Presence of any	89 (70.6)	50 (69.4)	0.87
neurological/psychiatric			
symptoms			
Presence of strictly-defined	40 (31.7)	8 (11.1)	0.001*
symptoms (excluding anosmia,			
dysgeusia, headache, myalgia)			

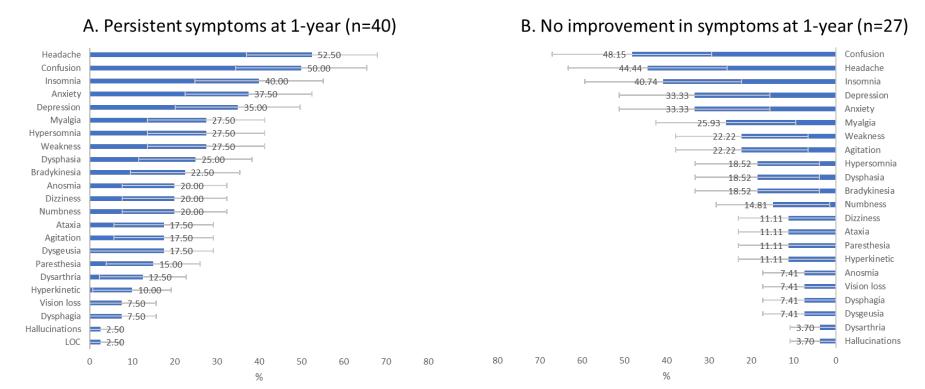
P-values shown are from Fisher's exact test for comparison of proportions and from the Wilcoxon rank-sum for comparison of continuous data. Significant differences at p<0.05 are indicated with an asterisk (\*).

## Supplementary Table S9. Unadjusted and adjusted odds ratios from logistic regressions for neuropsychological and functional outcomes at 1-year (n=126).

	T-MoCA score <18 K10 score ≥20		ore ≥20	MBI-C score ≥6.5		Independent for IADLs		
Independent variable	OR (95%CI)	aOR (95%CI)	OR (95%CI)	aOR (95%CI)	OR (95%CI)	aOR (95%CI)	OR (95%CI)	aOR (95%CI)
Any neurological	2.05 (0.65-6.48)	1.03 (0.17-6.0)	12.5 (1.6-	12.1 (1.4-	None without symptoms had		None without symptoms were	
symptoms	, , , ,		99.4)	97.2)	MBI+	• •	dependent	
Strictly-defined symptoms	1.62 (0.62-4.2)	2.15 (0.48-9.6)	21.6 (4.6-	17.3 (1.7-78)	11.8 (1.4-	9.2 (0.6-16.1)	0.15(0.02-	0.13 (0.01-1.25)
Excluding anosmia/			102)		98.6)		1.27)	
dysgeusia/myalgia/headache								
Persistent neurological	0.72 (0.29-1.8)	0.79 (0.18-3.6)	21.6 (4.6-	16.9 (1.7-68)	19.5 (2.3-	9.4 (0.6-15.8)	0.12 (0.01-	0.11 (0.01-0.97)
symptoms			102)		64)		1.02)	
No improvement in	0.45 (0.16-1.3)	0.82 (0.18-3.9)	19.6 (5.8-	29.3 (4.2-85)	14.7 (3.3-	18.3 (3.4-	0.06 (0.01-	0.06 (0.01-0.58)
symptoms			66.3)		65.3)	98.4)	0.57)	
Female sex	0.38 (0.16-0.95)	0.37 (0.10-1.4)	2.5 (0.85-7.2)	6.5 (1.0-	2.0 (0.57.3)	1.4 (0.18-	0.54 (0.10-	0.55 (0.11-3.2)
				40.3)		10.6)	3.0)	
Years of education	0.84 (0.71-0.96)	0.95 (0.76-1.2)	0.94 (0.80-	0.85 (0.65-	0.89 (0.71-	0.91 (0.60-	1.2 (0.88-1.6)	1.5 (0.79-2.8)
			1.1)	1.1)	1.1)	1.4)		
Confusion	1.7 (0.53-5.2)	1.8 (0.56-5.9)	13.3 (3.8-	6.3 (1.4-	14.2 (2.9-	15.0 (2.4-92.6)	0.14 (0.02-	0.09 (0.01-0.74)
			46.6)	28.8)	69.6)		0.85)	
Depression	2.8 (0.90-8.6)	4.6 (1.2-16.9)	16.1 (4.5-	13.9 (3.8-	6.0 (1.5-	6.3 (1.4-28.8)	0.05 (0.01-	0.05 (0.005-
			57.2)	51.5)	24.6)		0.49)	0.52)
Insomnia	0.60 (0.17-2.1)	0.74 (0.19-2.8)	3.9 (1.3-11.7)	3.2 (0.96-	8.7 (2.1-	9.5 (2.1-43.3)	0.31 (0.06-	0.28 (0.04-1.9)
	1.7 (0.44.4.0)	2.1 (0.55.0.0)	141/20	10.8)	35.4)	2.6.(0.50	1.7)	0.04/0.004
Anxiety	1.5 (0.44-4.8)	2.1 (0.57-8.0)	14.1 (3.8-	12.1 (3.0-	2.7 (0.7-	2.6 (0.59-	0.04 (0.004-	0.04 (0.004-
	0.07 (0.06.2.6)	1.2 (0.21.4.0)	52.9)	48.4)	10.9)	11.7)	0.37)	0.42)
Hypersomnia	0.97 (0.26-3.6)	1.2 (0.31-4.9)	4.3 (1.3-14.5)	3.3 (0.91-	2.7 (0.7-	2.5 (0.59-	0.20 (0.03-	0.20 (0.03-1.26)
D: ·	0.70 (0.10.2.4)	1.0 (0.22.47)	20.4 (2.0.06)	11.9)	10.9)	10.7)	1.1)	0.02 (0.002
Dizziness	0.79 (0.19-3.4)	1.0 (0.22-4.7)	20.4 (3.9-86)	18.1 (3.3-81)	8.0 (1.6-	9.6 (1.5-61.9)	0.02 (0.002-	0.03 (0.002-
**/	0.20 (0.07.1.0)	0.26 (0.07.2.0)	42(12156)	4.8 (1.2-	39.1)	4.9 (0.82-	0.23)	0.28)
Weakness	0.38 (0.07-1.9)	0.36 (0.07-2.0)	4.3 (1.2-15.6)	20.3)	4.3 (1.0-	28.8)	0.16 (0.03- 0.89)	0.21 (0.03-1.47)
C 1. /1	1.1 (0.2.5.0)	1.7 (0.22.0.5)	5 5 (1 4 21 2)		19.5)	/	/	0.14 (0.02.0.00)
Speech/language issues	1.1 (0.2-5.0)	1.7 (0.32-9.5)	5.5 (1.4-21.3)	4.2 (1.0- 18.1)	4.3 (1.0- 19.5)	5.0 (1.0-27.9)	0.13 (0.02- 0.79)	0.14 (0.02-0.98)
Bradykinesia	3.3 (0.8-14.1)	5.2 (1.0-25.8)	34.6 (4.0-98)	31.1 (3.4-86)	9.2 (1.5-	10.7 (1.5-	0.79)	0.10 (0.01-0.76)
Drauykiilesia	3.3 (0.8-14.1)	3.2 (1.0-23.8)	34.0 (4.0-98)	31.1 (3.4-80)	9.2 (1.3- 55.3)	74.8)	0.12 (0.02-	0.10 (0.01-0.76)
Numbness/paresthesia	2.5 (0.6-11.2)	2.9 (0.58-14.9)	28.6 (3.3-48)	49.8 (4.3-82)	9.2 (1.5-	8.1 (1.3-51.9)	0.09)	0.30 (0.04-2.11)
rumoness/parestnesia	2.3 (0.0-11.2)	2.9 (0.30-14.9)	20.0 (3.3-48)	49.0 (4.3-02)	55.3)	0.1 (1.3-31.9)	1.51)	0.30 (0.04-2.11)
		1		l	33.3)	1	1.31)	1

Appendix 1, as supplied by the authors. Appendix to: Ganesh A, Rosentreter RE, Chen Y, et al. Patient-reported outcomes of neurologic and neuropsychiatric symptoms in mild COVID-19: a prospective cohort study. CMAJ Open 2023. DOI:10.9778/cmajo.20220248. Copyright © 2023 The Author(s) or their employer(s). To receive this resource in an accessible format, please contact us at cmajgroup.cmaj.ca

Covariates on multivariable regressions included age, sex, years of education, and prior history of neurological/neuropsychiatric conditions.



**Supplementary Figure S1.** The distribution of neurological and neuropsychiatric symptoms among patients reporting (A) persistent symptoms and (B) no improvement in these symptoms at 1-year follow-up after mild COVID-19, shown as the percentage of patients with each symptom. Whiskers represent 95% confidence intervals. LOC – loss of consciousness.